

FORM PTO-1390 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 218799US0PCT
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 10/030143		
INTERNATIONAL APPLICATION NO. PCT/JP02/04406	INTERNATIONAL FILING DATE 25 MAY 2001	PRIORITY DATE CLAIMED 26 MAY 2000		
TITLE OF INVENTION NON-AQUEOUS ELECTROLYTE AND SECONDARY BATTERY USING THE SAME				
APPLICANT(S) FOR DO/EO/US Kenji OKAHARA, et al.				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 				
Items 13 to 20 below concern document(s) or information included: <ol style="list-style-type: none"> 13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input type="checkbox"/> Certificate of Mailing by Express Mail 23. <input checked="" type="checkbox"/> Other items or information: 				
Notice of Priority / PCT/IB/304 / PCT/IB/308 PTO-1449 / Drawing (1 sheet)				

U.S. APPLICATION NO. OF INVENTION SHEET
10/030143INTERNATIONAL APPLICATION NO.
PCT/JP02/04406ATTORNEY'S DOCKET NUMBER
218799US0PCT

24. The following fees are submitted:.

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	\$1040.00
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$890.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$740.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	\$710.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**\$890.00**Surcharge of \$130.00 for furnishing the oath or declaration later than
months from the earliest claimed priority date (37 CFR 1.492 (e)). 20 30**\$130.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	- 20 =	0	x \$18.00	\$0.00
Independent claims	- 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS				\$1,020.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				\$0.00
			SUBTOTAL	\$1,020.00
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).			<input type="checkbox"/> 20 <input type="checkbox"/> 30 +	\$0.00
			TOTAL NATIONAL FEE	\$1,020.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).			<input type="checkbox"/>	\$0.00
			TOTAL FEES ENCLOSED	\$1,020.00
			Amount to be: refunded	\$
			charged	\$

- A check in the amount of **\$1,020.00** to cover the above fees is enclosed.
- Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **15-0030** A duplicate copy of this sheet is enclosed.
- Fees are to be charged to a credit card. **WARNING: Information on this form may become public. Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**22850**Surinder Sachar
Registration No. 34,423

(703) 413-3000

SIGNATURE

Norman F. Oblon

NAME

24,618

REGISTRATION NUMBER

Jan 28 2002

DATE

what year of ~~the~~ PCT
number JPO1 OR JPO2?

FILED	ATTORNEY'S DOCKET NUMBER 218799US0PCT
U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 10/030143	
PRIORITY DATE CLAIMED 26 MAY 2000	
WE USED PCT/JPO1/04406 USING THE SAME	

D/US) the following items and other information:

1. This is a **FIRST** submission of this application.
2. This is a **SECOND** or **SURSEQUENT** submission of this application.

37 C. 371.

261621

261621

0868

OBLON, SPIVAK, McCLELLAND,
MAIER, & NEUSTADT, P.C.
CRYSTAL SQUARE FIVE-SUITE 400
1755 JEFFERSON DAVIS HWY
ABINGTON, VA 22202

FIRST UNION NATIONAL BANK
VIENNA, VA
68-760/560

ONE THOUSAND TWENTY AND 00/100 DOLLARS*****

DATE
1/28/02

AMOUNT
\$1,020.00

PAY
TO THE
ORDER
OF:

COMMISSIONER OF PATENTS & TRADEMARKS

218799US

NEW US PCT APPLICATION

VOID IF NOT CASHED WITHIN 90 DAYS

Kenji Oka
AUTHORIZED SIGNATURE

DOCKET

10 26 16 21 10 10 5600 7604 2065173481544

Article 36 (35 U.S.C. 371 (c)(5)).

11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).

The U.S. Patent Office stamp affixed hereinbelow indicates the filing date
and Serial Number for the following application:

3.28 and 3.31 is included.

OSMM&N DOCKET: 218799US0PCT

Inventor: Kenji OKAHARA, et al.

35 U.S.C. 1.821 - 1.825.

Title: NON-AQUEOUS ELECTROLYTE AND SECONDARY
BATTERY USING THE SAME

U.S.C. 154(d)(4).

NFO/cak

10/030143

This is NOT a filing receipt! Please retain for ADVANCE NOTICE SERIAL NUMBER.

PCTUS1/REV03

98 Rec'd PCT/PTO 28 JAN 2002